

Docket No.

47746

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

GENE DELIVERY COMPOSITIONS AND METHODS

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on October 8, 1998 as United States Application No. or PCT International Application Number 09/169,739 and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

60/062,018

October 10, 1997

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Peter F. Corless (Reg. No. 33,860)
 Sewall P. Bronstein (Reg. No. 16,919)
 David G. Conlin (Reg. No. 27,026)
 George W. Neuner (Reg. No. 26,964)
 Linda M. Buckley (Reg. No. 31,003)
 Peter J. Manus (Reg. No. 26,766)
 Cara Z. Lowen (Reg. No. 38,227)
 William J. Daley, Jr. (Reg. No. 35,487)
 Robert L. Buchanan (Reg. No. 40,927)
 Christine C. O'Day (Reg. No. 38,256)

Send Correspondence to: Peter F. Corless
DIKE, BRONSTEIN, ROBERTS & CUSHMAN, LLP
 130 Water Street
 Boston, MA 02109

Direct Telephone Calls to: *(name and telephone number)*
 Peter F. Corless (617) 523-3400

Full name of sole or first inventor John H. LAWRENCE III	
Sole or first inventor's signature	Date
Residence Reisterstown, Maryland	
Citizenship USA	
Post Office Address 8 Woodward Ct.	
Reisterstown, Maryland 21136	

Full name of second inventor, if any J. Kevin A DONAHUE	
Second inventor's signature	Date 4/5/99
Residence Baltimore, Maryland	
Citizenship USA	
Post Office Address 935 N. Calvert Street, Apt. A	
Baltimore, Maryland 21205	

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47746

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☒ was filed on October 8, 1998 as United States Application No. or PCT International Application Number 09/169,739 and was amended on _____ (if applicable)

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Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

[illegible]

October 10, 1997

(Filing Date)

(Filing Date)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Status)
(patented, pending, abandoned)

(Status) .
(patented, pending, abandoned)

(Status)
(patented, pending, abandoned)

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David G. Conlin (Reg. No. 27,026)

George W. Neuner (Reg. No. 26,964)

Ernest V. Linek (Reg. No. 29,822)

Linda M. Buckley (Reg. No. 31,003)

Ronald I. Eisenstein (Reg. No. 30,628)

Peter J. Manus (Reg. No. 26,766)

David S. Resnick (Reg. No. 34,235)

John L. Welch (Reg. No. 28,129)

Cara Z. Lowen (Reg. No. 38,227)

William J. Daley, Jr. (Reg. No. 35,487)

David D. Lowry (Reg. No. 38,538)

Robert L. Buchanan (Reg. No. 40,927)

Christine C. O'Day (Reg. No. 38,256)

Send Correspondence to: Peter F. Corless
DIKE, BRONSTEIN, ROBERTS & CUSHMAN, LLP
130 Water Street
Boston, MA 02109

Direct Telephone Calls to: *(name and telephone number)*

Peter F. Corless (617) 523-3400

Full name of sole or first inventor

John H. LAWRENCE III

Sole or first inventor's signature

John H. Lawrence III

Date

3/25/99

Residence

Reisterstown, Maryland

Citizenship

USA

Post Office Address

8 Woodward Ct.

Reisterstown, Maryland 21136

Full name of second inventor, if any

J. Kevin DONAHUE

Second inventor's signature

Date

Residence

Baltimore, Maryland

Citizenship

USA

Post Office Address

935 N. Calvert Street, Apt. A

Baltimore, Maryland 21205

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27 (d)) - NONPROFIT ORGANIZATION**Docket No.
47746 (1699)

Serial No.

09/169,739

Filing Date

October 8, 1998

Patent No.

Issue Date

Applicant/ John H. Lawrence, III, et al.

Patentee:

Invention:

GENE DELIVERY COMPOSITIONS AND METHODS

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: Johns Hopkins University

ADDRESS OF ORGANIZATION: 720 Rutland Avenue

Baltimore, Maryland 21205

TYPE OF NONPROFIT ORGANIZATION:

- ☒ University or other Institute of Higher Education
- ☐ Tax Exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(c)(3))
- ☐ Nonprofit Scientific or Educational under Statute of State of The United States of America
Name of State: Citation of Statute:
- ☐ Would Qualify as Tax Exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(c)(3)) if Located in The United States of America
- ☐ Would Qualify as Nonprofit Scientific or Educational under Statute of State of The United States of America if Located in The United States of America
Name of State: Citation of Statute:

I hereby declare that the above-identified nonprofit organization qualifies as a nonprofit organization as defined in 37 C.F.R. 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification to be filed herewith.
- ☒ the application identified above.
- ☐ the patent identified above.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the above-identified nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

FULL NAME

ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME

ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME

ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME

ADDRESS

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Howard W. Califano

TITLE IN ORGANIZATION:

Assistant Dean and Director

ADDRESS OF PERSON SIGNING:

2024 East Monument Street, Suite 2-100Baltimore, Maryland 21205USA

SIGNATURE:



DATE:

4-5-99